

2007
CODE OF CONDUCT
STATEMENT OF FINANCIAL INTEREST

THIS FINANCIAL INTEREST STATEMENT IS REQUIRED UNDER THE GOVERNOR'S CODE OF CONDUCT PROMULGATED BY EXECUTIVE ORDER 1980-18 DATED MAY 16, 1984, AS AMENDED SEPTEMBER 28, 1987. IT IS NOT TO BE CONFUSED WITH ANY FINANCIAL INTEREST STATEMENT COMPLETED AND SUBMITTED UNDER THE PUBLIC OFFICIAL AND EMPLOYEE ETHICS ACT, ACT 93 OF 1998, 65 PA. C.S. §§ 1101-1113.

LAST NAME _____ **FIRST** _____ **MI** _____

NAME OF AGENCY, BOARD OR COMMISSION _____

POLICY

1. *Statements of Financial Interest.* *The following officials, appointees and employees of the Commonwealth shall file a statement of financial interest with the personnel office of the respective department, agency, board or commission or other office as designated hereafter:*

- a. Governor*
- b. Lieutenant Governor*
- c. Heads of agencies and departments, their respective deputy secretaries, all Commonwealth officials or employees at the level of division chief and above, and all attorneys, press secretaries, legislative liaisons, and executive and special assistants.*
- d. Chairpersons and members of boards, councils, and commissions or the like under the Governor's jurisdiction, except those serving on advisory boards having no authority to expend funds or otherwise exercise the power of the Commonwealth.*
- e. Executive directors, counsel, and administrative secretaries of compensated boards and commissions under the Governor's jurisdiction.*
- f. Employees of all classes required by the Office of Administration to file financial disclosure under Act 170 of 1978, as amended by Act 9 of 1989, Public Official and Employee Ethics Law.*

2. *Additional Filings.* *The Governor may require other officials, appointees and employees in the Executive Branch to file financial interest statements.*

Officials, appointees and employees required to file this financial interest statement shall do so within 30 days of the date they assume office and shall file a financial interest statement on May 1 of each year thereafter for the duration of their employment or term of office. **All financial interest statements must cover the preceding calendar year (January 1 through December 31).** Persons who are required to file this financial interest statement and fail to do so will be subject to disciplinary action including discharge or removal from office.

Financial disclosure is required only of the individual completing this statement.

BUSINESS INTERESTS

List all interests (including but not limited to stocks, notes, bonds, partnerships, sole and/or joint proprietorship/ownership, etc.) in any business entity or not-for-profit entity held during the preceding calendar year and doing business with the Commonwealth (if known). "Interests" shall include not only personal financial interest, but also non-paid memberships on boards or directors of business entities or not-for-profit entities.

Name/Address of the principal office of the business(es) and/or non-profit entity(ies) _____

Nature and dollar value of interest(s), including any liens, encumbrances, etc. _____

TRANSFERS:

Nature/description of transferred interest(s) _____

Name/Address of person(s)/entity(ies) to whom transferred _____

LIABILITIES

List all liabilities or debts owed to any person, entity, or institution during the preceding calendar year. Exclude retail credit accounts, commercial banks, savings and loans and finance company loans.

Name/Address of person(s), entity(ies), institution(s) to whom liability or debt was owed _____

Manner in which debt/liability was secured _____

Amount of debt(s)/liability(ies) and terms of payment _____

EMPLOYMENT

List all payments, compensation, or consideration of any nature (including but not limited to salaried employment, consultant fees, offices, directorships, honoraria, travel/related expenses and other fees, etc.) earned during the preceding calendar year. Exclude Commonwealth employment listed on Page 2.

Name/Address of person(s), entity(ies), for whom service(s) were, are or will be rendered _____

Title/Description of service(s) _____

Period(s) of time during which services were, are or will be rendered _____

Total amount of monies, compensation, consideration received _____

REAL PROPERTY INTERESTS

List all in-state and out-of-state real estate property interests including revenue producing leased facilities and interests in gas, oil, coal or other miner royalty producing interest held during the preceding calendar year. Exclude home or principal residence.

Name, nature/description and mailing address of real estate property interest(s) _____

Nature and extent of interest(s), including any conditions or encumbrances, and any partners in the interest _____

Acquisition:

Date(s) Acquired _____

Name/Address of person(s)/entity(ies) from whom acquired _____

Manner of transfer or conveyance (Purchase, inheritance, etc.) _____

Transfers:

Name, nature/description and mailing address of property interest(s) _____

Consideration or amount received (Dollar value or payment in kind) _____

Name and address of person(s)/entity(ies) to whom transferred _____

SEVERANCE PAYMENTS

List any severance payments received or to be received, or any proceeds received or to be received from the sale or redemption of interest in any corporation (which represents 5% or more of the common stock or assets of the corporation), professional corporation, partnership, or other entity, which payments or proceeds result from the termination of employment or withdrawal from a corporation, professional corporation, partnership, or other entity upon the assumption of public office. Attach to this statement a copy(ies) of any agreement(s) relating to receipt of such severance payments or proceeds.

Source(s) of any severance payments or proceeds _____

Nature/description of payments or proceeds (ATTACH COPIES) _____

In the event that a severance arrangement or sale or redemption of any interest specified above is concluded more than 30 days following the date of assuming office or position, a supplement to this statement shall be filed within 10 calendar days following the conclusion of the transaction. Such supplement shall disclose any payments or proceeds received or to be received and the filing of any agreements relating to such payments or proceeds.

GIFTS

List all gifts of value in excess of \$100, including the forgiveness of a debt received during the preceding calendar year. For the purpose of this section, gifts received from family members need not be disclosed.

Name/Address of the person(s)/entity(ies) from whom or on behalf of whom the gift was directly or indirectly received _____

Nature and value of gift(s) _____

I HEREBY CERTIFY THAT THE INFORMATION PRESENTED HEREIN IS TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE, AND IS PROVIDED IN COMPLIANCE WITH THE GOVERNOR'S CODE OF CONDUCT PROMULGATED BY EXECUTIVE ORDER 1980-18 DATED MAY 16, 1984, AS AMENDED SEPTEMBER 28, 1987.

SIGNATURE

DATE